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Mail Stop Patent Application  
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Alexandria, VA 22313-1450

PATENT  
Attorney Docket No. OC0336US

On 2/27/04

By   
Scott Hewett

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of: GASLOLI et al.

Application No.: unassigned

Filed: herewith

For: VARIABLE FILTER-BASED OPTICAL  
OPTICAL SPECTROMETER

Examiner: unassigned

Art Unit: unassigned

INFORMATION DISCLOSURE  
STATEMENT UNDER  
37 CFR 1.97(b)(1)

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
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Sir:

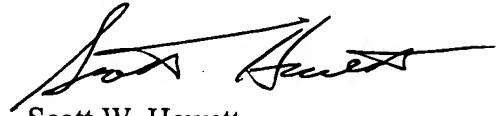
The 11 references cited on the attached substitute form 1449A are being called to the attention of the Examiner. A copy of each reference that is not a U.S. patent publication is enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this Statement, and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

The Applicant believes that no fee is required for submission of this Statement because it is being submitted with the U.S. utility patent application, and thus within three

months of the filing date, in accordance with 37 CFR 1.97(b)(1). However, if a fee is required, the undersigned authorizes the Commissioner to charge necessary fee, or credit any overpayment, to USPTO Deposit Account No. 50-0891.

Respectfully Submitted

A handwritten signature in black ink, appearing to read "Scott W. Hewett", with a long horizontal flourish extending to the right.

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (substitute form 1449A)	<b>Application Number</b>	unassigned
	<b>Filing Date</b>	herewith
	<b>First Named Inventor</b>	Paul J. Gasloli
	<b>Art Unit</b>	unassigned
	<b>Examiner Name</b>	
Sheet 1 of 1	<b>Attorney Docket No.</b>	OC0336US

### U.S. Patent s

Examiner Initials	Cite No.	Document No.	Name of Applicant	Pub. Date	Class	Sub-class	Filing Date (if appropriate)
	1	6,631,033	Lewis	10/07/2003	359	584	
	2	6,531,230	Weber et al.	03/11/2003	428	480	
	3	6,451,414	Wheatley et al.	09/17,2002	428	212	
	4	6,157,490	Wheatley et al.	12/05/2000	359	589	
	5	6,080,467	Weber et al.	06/27/200	428	212	
	6	5,200,855	Meredith, Jr. et al.	04/06/1993	359	588	
	7	4,659,178	Kyogoku	04/21/1987	350	166	
	8	3,504,959	Hennessey	04/07/1970	350	166	
	9	3,981,568	Bartolomei	09/21/1976	350	317	

### U.S. Patent Applications


### FOREIGN PATENT DOCUMENTS

		Office	Number	Class	Name of Applicant (1 <sup>st</sup> inventor)	Date of Publication	English Translation
	10	GB	2137769A	G02B 5/28	Dummernix	10/10/1984	Eng.Doc.

### OTHER ART

	11	<i>Absorptive Neutral Density Filters</i> , THORLABS, INC., User's Guide, 1-6 (Oct. 25, 2001)
EXAMINER		DATE CONSIDERED